

City of Durham

At a Meeting of the **AUDIT OVERVIEW COMMITTEE** held in the Gala Theatre, Durham, on Thursday 12th July, 2007, at 5.30 p.m.

Present: Councillor Walker (in the Chair)
and Councillors Dickie, Jackson, Martin and D. Smith.

Also Present: Councillor McDonnell

97. APOLOGIES FOR ABSENCE

There were no apologies for absence.

98. MINUTES

The Minutes of the Meeting held on 27th June, 2007, were confirmed as a correct record and signed by the Chairman.

Report of the Director of Strategic Resources.

99. STRATEGIC AUDIT PLAN 2006/2007 ANNUAL AUDIT PLAN OUT-TURN PROGRESS REPORT FOR THE PERIOD 1st APRIL 2007 TO 31st MAY 2007

The Director of Strategic Resources submitted a comprehensive report that covered the Role of Internal Audit, Staff Establishment, The Strategic Audit Plan, The 2006/2007 Annual Audit out-turn and compared the actual work undertaken by Internal Audit with that planned for the period 1st April, 2007, to 31st May, 2007.

Resolved: (i) That the action taken in respect of the 2006/2007 Audit Plan be approved.

(ii) That the contents of the Progress Report for the 1st April, 2007 to the 31st May, 2007 be noted.

(iii) That the Audit Strategy and Audit Charter be approved.

100. ANNUAL AUDIT AND INSPECTION PLAN 2007/2008

The Director of Strategic Resources circulated a copy of the Audit Commission Report on the Annual Audit and Inspection Plan 2007/2008.

Resolved: (i) That the report be approved.

(ii) That the fee be noted but Members' Concerns at the substantial increase, be recorded.

101. ANNUAL AUDIT AND INSPECTION LETTER 2006

The Director of Strategic Resources circulated a copy of the Audit Commission Report on the Annual Audit and Inspection Letter for 2006.

Resolved: That the report be accepted.

102. USE OF RESOURCES AUDITOR JUDGEMENTS 2006

The Director of Strategic Resources circulated a copy of the Audit Commission Report on the Use of Resources Auditor Judgements 2006.

Resolved: (i) That the report be accepted.

(ii) That Members' pleasure at the improved scores be recorded and Staff be commended for their contribution

103. AUDIT OVERVIEW APPOINTMENT OF INDEPENDENT MEMBER

The Director of Strategic Resources advised the Committee that Council had agreed to appoint one independent member to the Committee. The appointment was being advertised and a further report would be submitted in due course on applications received.

Resolved: That the report be noted.

104. IN PRIVATE

Resolved: That pursuant to Section 100.A(4) of the Local Government Act 1972 the public be excluded from the remainder of the Meeting during consideration of the item listed in Column 1 below, being a report of the Officer mentioned in Column 2 below on the grounds that if members of the public were present during discussion of this item there would be disclosure to them of exempt information (as defined in Section 100.1) of the description indicated in Column 3 below.

<u>Column 1</u>	<u>Column 2</u>	<u>Column 3</u>
2006/2007 Audits Completed (Excluding Items Already Reported)	Director of Strategic Resources	Information relating to any individual (Para 1) Information which is likely to reveal the identify of an individual (Para.2) Information relating to the financial or business affairs of any particular person (including the Authority holding the information) (Para.3) Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime (Para.7)
2006/2007 Audits Completed (Brought Forward to 2007/2008)	Director of Strategic Resources	Information relating to any individual (Para 1) Information which is likely to reveal the identify of an individual (Para.2) Information relating to the financial or business affairs of any particular person (including the Authority holding the information) (Para.3)

Column 1

Column 2

Column 3

2007/2008 Audits Completed

Director of Strategic Resources

Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime
(Para.7)

Information relating to any individual
(Para 1)

Information which is likely to reveal the identify of an individual
(Para.2)

Information relating to the financial or business affairs of any particular person (including the Authority holding the information)
(Para.3)

Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime
(Para.7)

Special Investigations

Director of Strategic Resources

Information relating to any individual
(Para 1)

Information which is likely to reveal the identify of an individual
(Para.2)

Information relating to the financial or business affairs of any particular person (including the Authority holding the information)
(Para.3)

Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime
(Para.7)

Report of the Director of Strategic Resources.

105. 2006/2007 AUDITS COMPLETED (EXCLUDING ITEMS ALREADY REPORTED)

The Director of Strategic Resources set out details of items Internal Audit had reviewed.

Resolved: That the report be noted.

106. 2006/2007 AUDITS COMPLETED (BROUGHT FORWARD TO 2007/2008)

The Director of Strategic Resources set out details of items Internal Audit had reviewed.

Resolved: (i) That the report be noted.

(ii) That the provision of a new cash safe be prioritised.

107. 2007/2008 AUDITS COMPLETED

The Director of Strategic Resources set out details of Audits Completed.

Resolved: That the report be noted.

108. SPECIAL INVESTIGATIONS

The Director of Strategic Resources and Head of Internal Audit reported upon special investigations, which had been concluded.

Resolved: That the report be noted.

The Meeting terminated at 6.50 p.m.

Chairman