

RISK MANAGEMENT	3	Achievement of Use of Resources - Key Lines of Enquiry (Ref: KLOE 4.1 and 4.3 "The Council manages its significant business risks")	3.8	All appropriate staff are given relevant training and guidance to enable them to take responsibility for managing risk within their own working environment. (now bold). (LEVEL 3)	C 3	In Place & Ongoing	Nov-07	Include as part of Risk Workplan Project/Tasks 1.7, 1.10 and 1.11. Consider Risk Mgt as part of Corporate Employee Induction. Rolling Training Programme, Implementation of Risk Management Induction Training for new Council staff. Ongoing Risk Management support provided by the Governance, Risk and Legal Function, proportionate to LGR.	Stuart Wardle	Relevant training provided to appropriate staff and included as part of corporate employee induction.	Staff and Member induction pack completed Jan-08. Risk Management Training Programme completed Dec-07	Jan-08	December 2008			
			3.9	The members with specific responsibility for risk management have received risk management awareness training. (LEVEL 3)	D 3	In Place & Ongoing	Jan-08 (Changed From Nov-07)	Training in place ... keep under review as part of Risk Workplan Project/Tasks 1.7, 1.10 and 1.11. Consider refresher training.	Stuart Wardle	Appropriate training provided to Members. Formal training record in place. Member training fully included in Risk Management Training Programme: 1. Audit Committee (New Members) 2. Audit Committee (Refresher Training) 3. Member Induction (New Members) 4. Member Awareness (Existing Members) Develop Risk Management Member Induction manual.	Updated Risk Management Member Induction manual developed Jan-08 and to be circulated to Members May-08 after annual meeting. Refresher Audit Committee Training completed 17.01.08 New Audit Committee Member Training completed 24.01.08 Member Awareness Sessions completed Nov-07.			Link with Task 1.7.		
			3.10	Members with responsibility for corporate risk management receive reports on a regular basis and take appropriate action to ensure that corporate business risks are being actively managed, including reporting to full council as appropriate. (LEVEL 3)	E 3	In Place & Ongoing	Nov-07	Training in place ... keep under review as part of Risk Workplan Project/Tasks 1.7 and 1.10	Stuart Wardle	Progress reports to Audit Committee / Executive Member For Improvement sits on RMSSG / Feedback mechanism in place to all Executive Portfolio Members.	Quarterly Risk Mgt Progress Reports to Audit Committee. Key Corporate Risks considered as part of Audit Committee Risk Assurance Work Plan					
			3.11	A senior officer and member jointly champion and take responsibility for embedding risk management throughout the council. (LEVEL 4)	E 3	In Place & Ongoing	Nov-07	Director of Finance & Corporate Resources / Executive Member for Improvement	Stuart Wardle	Senior Officer and Member have been nominated.	Clr F Shaw (Executive Member for Improvement) & Tom Bell (Director of Finance & Corp Services)					
			3.12	The council can demonstrate that it has embedded risk management in its corporate business processes, including: (LEVEL 4)	E 3	In Place & Ongoing	Feb-08 (Changed From Nov-07)	Existing Corporate, Business, Service & Financial Planning & Policy and Performance Mgt arrangements include Risk Management	Stuart Wardle	Current Corporate, Business, Service, financial Planning and Policy & performance review includes Risk management as an integral element. (see Risk Strategy and current arrangements). Use of Resources; assurance obtained.	All reports include Risk implications. BVPP 2007 & Performance Mgt Framework includes links to Risk Mgt. Financial Planning is risk based. Service Planning included Service Risks. To review pending 2007/08 CRR.				To be reviewed pending the outcome of Task 1.4 - CRR	
			3.12.1	Strategic planning.	E 3	In Place & Ongoing	Feb-08 (Changed From Nov-07)	Existing Corporate, Business, Service & Financial Planning & Policy and Performance Mgt arrangements include Risk Management	Stuart Wardle	Current Corporate, Business, Service, financial Planning and Policy & performance review includes Risk management as an integral element. (see Risk Strategy and current arrangements). Use of Resources; assurance obtained.	- ditto -				To be reviewed pending the outcome of Task 1.4 - CRR	
			3.12.2	Financial planning.	E 3	In Place & Ongoing	Feb-08 (Changed From Nov-07)	Existing Corporate, Business, Service & Financial Planning & Policy and Performance Mgt arrangements include Risk Management	Stuart Wardle	Current Corporate, Business, Service, financial Planning and Policy & performance review includes Risk management as an integral element. (see Risk Strategy and current arrangements). Use of Resources; assurance obtained.	- ditto -				To be reviewed pending the outcome of Task 1.4 - CRR	
			3.12.3	Policy making and review	E 3	In Place & Ongoing	Feb-08 (Changed From Nov-07)	Existing Corporate, Business, Service & Financial Planning & Policy and Performance Mgt arrangements include Risk Management	Stuart Wardle	Current Corporate, Business, Service, financial Planning and Policy & performance review includes Risk management as an integral element. (see Risk Strategy and current arrangements). Use of Resources; assurance obtained.	- ditto -				To be reviewed pending the outcome of Task 1.4 - CRR	
			3.12.4	Performance management.	E 3	In Place & Ongoing	Feb-08 (Changed From Nov-07)	Existing Corporate, Business, Service & Financial Planning & Policy and Performance Mgt arrangements include Risk Management. Training in place (Member Induction Programme and ongoing Member Training & Development Programme) ... keep under review as part of Risk Workplan Project/Tasks 1.7, 1.10 and 1.11.	Stuart Wardle	Current Corporate, Business, Service, financial Planning and Policy & performance review includes Risk management as an integral element. (see Risk Strategy and current arrangements). Use of Resources; assurance obtained.	- ditto -				To be reviewed pending the outcome of Task 1.4 - CRR	
			3.13	All Members receive risk management awareness training. (LEVEL 4)	D 3	In Place & Ongoing	Jan-09 (Updated from Nov-07)	Training in place (Member Induction Programme and ongoing Member Training & Development Programme) ... keep under review as part of Risk Workplan Project/Tasks 1.7, 1.10 and 1.11.	Stuart Wardle	Included as part of Members Induction Programme and ongoing training and development. Formal training records in place. Linked to Project/Task 3.9	Member training fully included in Risk Management Training Programme Linked to Project/Task 3.9	Jan-08 and Ongoing	Updated Feb-08	Link with Task 1.7.		
			3.14	The council considers positive risks (opportunities) as well as negative risks (threats). (LEVEL 4)	D 2	Mar-06	Feb-08 (Changed From Dec-07)	Opportunity analysis already undertaken as part of Corporate Risk review. Include specific reference in updated Strategy & Policy & STORM Training & Handbook	Stuart Wardle	Opportunities have been considered as part of the Corporate Risk Management Strategy. (2005/06 Risk Review) Specific reference to positive risks included within Risk Policy & Strategy & "STORM"	Opportunities to be considered as part of 2007/08 Corporate Risk Review. To be updated pending CRR Apr-08.			To be reviewed pending the outcome of Task 1.4 - CRR		
			3.15	The risk of fraud and corruption is specifically considered in the council's overall risk management process. (LEVEL 4 - Extracted from KLOE 4.3)	E 2	Ongoing	Nov-07	Fraud & Corruption Risks already considered as part of "STORM" Methodology. Include specific reference in updated "STORM" training and handbook Specific reference to Fraud and Corruption to be included within the annual CRR.	Stuart Wardle	Specific reference to Fraud & Corruption Risks included within "STORM" training & handbook	Fraud & Corruption specifically included as part of Risk mgt Toolkit	25.09.07				
			4	Local Government Reorganisation (LGR)	4.1	Support the development of the Risk Management approach to the future Unitary Authority.	B 2	Mar-09	01.06.08 and Fortnightly	Provide support to the LGR workstreams and sub-groups, where appropriate and proportional.	Stuart Wardle	Council provides required levels of support to workstreams and sub-groups. Council is able to influence direction of future risk Management arrangements of new Unitary Authority in the spirit of sharing best practice.	Council is currently involved within the Risk Management Workstream which meets on a fortnightly basis.		Task Added Apr-08	New task added to the Risk Management Performance Workplan Apr-08

