# **ANNUAL GOVERNANCE STATEMENT (AGS) FRAMEWORK**

# **Governance Framework – Key Documents / Functions** Corporate Plan Constitution

- Best Value Performance Plan
- East Durham Community Strategy
- Transitional Plan
- Service Plans
- Medium Term Financial Plan
- Local Area Agreement
- CPA Self Assessment
- Best Value Review of Support Services
- Local Code of Governance
- Community Engagement Framework
- Performance Management Framework
- Organisational Development Strategy

- Annual Governance Report
- Partnership Framework
- Officer and Member Codes of Conduct.
- Members Induction and Training Programme
- Confidential Reporting Code
- Officer and Member Protocols
- Annual Statement of Accounts
- · Risk Management Strategy
- · Anti-Fraud and Corruption Policy
- Use of Resources assessment
- Remuneration Panel Reports

- · Complaints Procedure
- Scrutiny Committee Reports
- Procurement Strategy
- Contract Procedure Rules
- Audit Committee Reports
- Audit Commission Reports
- Treasury Management Framework
- · Annual Statement of Accounts
- Financial Procedure Rules
- Scheme of Delegation
- Human Resources Strategy
- · Respect and Dignity Policy
- Information governance
- · Schedule of Council Meetings

**Corporate Governance Group with** 

responsibility for drafting AGS after

evaluating assurances and

supporting evidence

**Annual Governance Statement** 

Signed by the Leader of the Council and Chief Executive and published with the Statement of Accounts

Independent review and approval by Audit Committee who examine draft AGS and supporting evidence

Review of the effectiveness of the system of Internal Audit

Corporate & Service Plans, Policies, **Business Plans and Risk Registers** 

### Performance Management 8 Data Quality

- Embedded system Operates throughout the
- organisation Internal and external reviews
- Action orientated
- National/Local
- Periodic progress

# Risk Management

- Risk Management
- Embedded in service planning process and project / partnership methodologies

Required element

- included in all reports
- Effectiveness evaluated
- Training Programme

# Legal and Regulatory

- Monitoring
  - Sections of Committee reports Legal advice

### Member's Assurance

- Standards
- Scrutiny function

### Assurances by Directors / Service Heads

- Annual Management Assurance
- Periodic Reports

### Other sources of ssurance (including third-party)

- Reports by
- Service Review reports
- Review of Significant Partnerships
- Fraud reports and investigations Ombudsman
- reports Post
- implementation reviews of projects

# Management

- Medium Tern Financial Plan
- Revenue Budget and Capital Programme
- Revenue and Capital Management Reports
- Treasury Management
- Statement of Accounts Compliance with
- Codes of Accounting
- Statutory Returns Grant claims

# Internal Audit

- Operates under approved Strategy and Terms of
- Approved risk based
- Periodic and annual reports to Audit Committee, including Head of Audit's opinion
- CIPFA Code compliance assessment
- External Audit Review Peer Review

## **External Audit**

- Annual Plan
- Annual Governance Report
- Annual Audit and Inspection Letter
- Audit opinion and VFM conclusion
- Use of Resources
- Ad hoc reports



Ongoing assurance on adequacy and effectiveness of controls over key risks