

## **Sedgefield Local Development Framework: Monitoring Framework**

### **Policy Context**

Section 35 of the Planning and Compulsory Purchase Act 2004 requires every local planning authority to make an annual report to the Secretary of State containing information on the implementation of the Local Development Scheme and the extent to which the policies in the Local Development Documents are being achieved. Further details are set out in Regulation 48 of the Town and Country Planning (Local Development) (England) Regulations 2004.

Local Planning Authorities are required by Section 35 of the Act, Local Planning Regulation 48 and SEA Regulation 17, to undertake five key monitoring tasks, all of which are inter-related. We are required to:

- Review actual progress in terms of Local Development Document preparation against the timetable and milestones in the Local Development Scheme;
- Assess the extent to which policies in the Local Development Documents are being implemented;
- Where policies are being implemented, explain why and to set out what steps are to be taken to ensure that the policy is implemented;
- Identify the significant effects of implementing policies in Local Development Documents and whether they are as intended; and,
- Set out whether policies are to be amended or replaced.

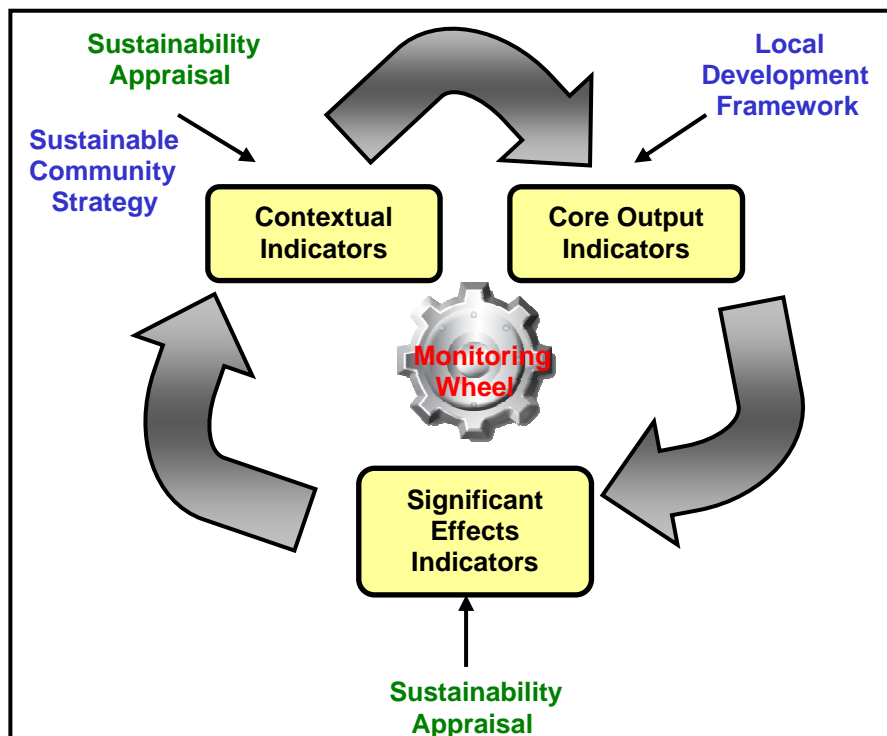
### **Indicators**

The Borough Council is required to monitor and provide information on a wide range of spatial data. This can be broken down into three specific areas: Contextual Indicators, Core/Local Output Indicators and Significant Effects Indicators. Firstly, *contextual indicators* describe the wider social, environmental and economic background against which the Local Development Framework operates. These contextual indicators aim to enhance the understanding of the wider context of spatial policies. Secondly, *output indicators* that are used to assess the performance of policies. The Core Output Indicators are largely based on those required by the Regional Planning Body. This reflects the need for compatibility between the differing requirements for Local Development Framework monitoring and Regional Spatial Strategy monitoring. Thirdly, *significant effects indicators* that are used to assess the significant social, environmental and economic effects of policies. These final indicators will be established through the development of the Sustainability Appraisal work. Whereas Output Indicators are intended to measure the direct effects of policies, significant effects indicators provide a more holistic view of the impact of a policy by identifying any unintended positive or negative effects.

## Sedgefield Local Development Framework Monitoring

The Council proposes a monitoring framework based on existing partnership monitoring activity and shared intelligence e.g. Sedgefield Local Strategic Partnership and Sustainable Community Strategy. This will achieve a more joined up and integrated approach to the implementation of the Local Development Framework and other strategies and initiatives at a local level.

To help achieve this the Council is proposing the following monitoring model or "Monitoring Wheel". This recognises the cyclical principle of plan, monitor and manage inherent within the Local Development Framework.



Sedgefield Local Development Framework Monitoring Wheel

### The Contextual Indicators

The purpose of contextual indicators is to provide a backdrop against which to consider the effects of policies and inform the interpretation of core output and significant effects indicators. Contextual indicators should be structured to establish the baseline position of the wider environmental, social and economic circumstances. This baseline position has already been delivered through the Sustainability Appraisal and Strategic Environmental Assessment. Therefore, the Sustainability Appraisal will be used to inform the contextual indicators. The Sustainability Appraisal Framework has recently been revised to accommodate some of the contextual indicators suggested within the New Performance Framework<sup>1</sup>. The Sustainability Appraisal Framework now

<sup>1</sup> The New Performance Framework for Local Authorities and Local Authority Partnerships: Single Set of National Indicators, DCLG 2007

contains 83 indicators designed to monitor a series of key environmental, social and economic characteristics and was derived from a rigorous contextual baseline. A further 3 indicators have also been added to monitor the demographic profile of the Borough. Overall **86 contextual indicators** are proposed to monitor the key environmental, social and economic characteristics of the Borough. These are identified in Appendix A.

## Appendix A: LDF Monitoring Framework Contextual Indicators

### Sustainability Appraisal Framework

No.	Final SA Objective and decision-making criteria	Draft Sustainability Indicators	Source
SO1	<b>To reduce poverty and social exclusion</b> <ul style="list-style-type: none"> <li>Will it help those on low incomes?</li> <li>Will it increase access to opportunities, services and facilities?</li> <li>Will it contribute towards local regeneration initiatives, or benefit areas suffering from deprivation?</li> <li>Will it tackle disadvantage and reduce the gaps between better off and less well off neighbourhoods?</li> </ul>	<b>Indices of Multiple Deprivation</b>	DCLG <sup>2</sup>
		<b>Number of children in relative low-income households</b>	NI <sup>3</sup> 116/PSA <sup>4</sup> 9
		<b>Working age people on out of work benefits</b>	NI152/PSA8
		<b>Tackling fuel poverty</b>	NI187/DEFRA <sup>5</sup> /DSO <sup>6</sup>
SO2	<b>To improve the health and well-being of the population</b> <ul style="list-style-type: none"> <li>Will it reduce health inequalities?</li> <li>Will it improve access to high quality health facilities and services?</li> <li>Will it encourage healthier lifestyles?</li> </ul>	<b>Obesity among primary school age children in Reception year</b>	NI55/DCSF/DSO
		<b>All-age all cause mortality rate</b>	NI120/PSA18
		<b>Healthy life expectancy at age 65</b>	NI137/PSA17
		<b>Access to health facilities</b>	SBC GIS <sup>7</sup>
SO3	<b>To improve education, skills and lifelong learning</b> <ul style="list-style-type: none"> <li>Will it encourage people to train, study or learn?</li> <li>Will it improve qualifications and skills of young people?</li> </ul>	<b>Achievement of 5 or more A*- C grades at GCSE and equivalent</b>	NI78/PSA10
		<b>Skills gaps in the current workforce reported by employers</b>	NI174/DIUS <sup>8</sup> /DSO
		<b>16 to 18 year olds who are not in education, training or employment</b>	NI117/PSA14
		<b>Working age population qualified to at least Level 2 or higher</b>	NI163/PSA2
SO4	<b>To provide everybody with the opportunity to live in a well designed, sustainable and affordable home</b> <ul style="list-style-type: none"> <li>Will it increase the range and affordability of housing for everyone?</li> </ul>	<b>Net additional homes provided</b>	NI154/PSA20
		<b>Number of affordable homes delivered</b>	NI155/PSA20
		<b>Median house price</b>	DCLG

<sup>2</sup> Department for Communities and Local Government

<sup>3</sup> National Indicator, DCLG 2007

<sup>4</sup> Public Service Agreement, HM Treasury

<sup>5</sup> Department for Environment, Food and Rural Affairs

<sup>6</sup> Departmental Strategic Objectives

<sup>7</sup> Sedgefield Borough Council, Geographical Information System

<sup>8</sup> Department for Innovation, Universities and Skills

	<ul style="list-style-type: none"> <li>Will it reduce the number of unfit homes?</li> <li>Will it reduce homelessness?</li> </ul>	<b>Lower quartile house price to lower quartile income ratio</b> <b>% Decent council homes</b> <b>Supply of ready to develop housing sites</b> <b>Number of households living in Temporary Accommodation</b>	DCLG NI158/DCLG/DSO NI159/DCLG/DSO NI156/PSA20
<b>SO5</b>	<b>To improve community safety and reduce crime and the fear of crime</b> <ul style="list-style-type: none"> <li>Will it enhance a sense of safety and security?</li> <li>Will it reduce opportunities for crime?</li> </ul>	<b>Overall crime rate</b> <b>Fear of Crime</b> <b>Indices of Crime Deprivation</b> <b>Perceptions of anti-social behaviour</b>	PSA1 (Floor) SBCDRP <sup>9</sup> DCLG NI17/PSA23
<b>SO6</b>	<b>To improve the quality of the local environment</b> <ul style="list-style-type: none"> <li>Will it improve areas of environmental degradation?</li> <li>Will it enhance the cleanliness and environmental quality of towns and villages?</li> <li>Will it protect, enhance or create access to open green space, nature conservation areas?</li> </ul>	<b>Improved street and environmental cleanliness</b> <b>Number of environmental enhancement schemes</b> <b>Access to, and quality of green open space</b> (e.g. Accessible Natural Greenspace Standards) <b>Access to designated nature conservation areas</b>	NI195/DEFRA/DSO SBC Planning/Sustainable Communities SBC Planning/Sustainable Communities SBC GIS
<b>SO7</b>	<b>To ensure all groups have improved accessibility to essential services and facilities</b> <ul style="list-style-type: none"> <li>Will it retain existing or encourage more shops and services in towns and villages?</li> <li>Will it help disadvantaged groups (e.g. disabled, elderly) access services and facilities more easily?</li> </ul>	<b>Accessibility to essential services (Schools, Post Office, Health facility)</b> <b>Accessibility to community facilities</b> <b>Viability and vitality of services in towns and villages</b>	SBC GIS/LTP2 <sup>10</sup> SBC GIS/LTP2 SBC Planning Town Centre Survey
<b>SO8</b>	<b>To support and enhance community activity and increase public involvement in all decision making</b> <ul style="list-style-type: none"> <li>Will it encourage a wider community involvement in the design and provision of services and community activities?</li> </ul>	<b>The percentage of people who believe people from different backgrounds get on well together in their local area</b> <b>The percentage of people who feel that they belong to their neighbourhood</b>	NI1/PSA21 NI2/PSA21

<sup>9</sup> Sedgefield Borough Crime Disorder Reduction Partnership

<sup>10</sup> Local Transport Plan2, Durham County Council

	<ul style="list-style-type: none"> <li>Will it increase the ability of people to influence local decision-making?</li> <li>Will it improve mutual understanding of different ethnic and cultural groups?</li> </ul>	<p><b>The percentage of people who feel they can influence decisions in their locality</b></p> <p><b>Election turnout</b></p> <p><b>Participation in regular volunteering</b></p>	<p>NI4/PSA21</p> <p>LGC<sup>11</sup> Election Centre</p> <p>NI6/CO<sup>12</sup>/DSO</p>
<b>SO9</b>	<p><b>To improve tourism, culture and leisure opportunities</b></p> <ul style="list-style-type: none"> <li>Will it encourage the provision of tourism facilities?</li> <li>Will it encourage the provision of and involvement in leisure, artistic or cultural activities?</li> <li>Will it attract visitors to the area?</li> </ul>	<p><b>Adult participation in sport</b></p> <p><b>Use of public libraries</b></p> <p><b>Visits to museums or galleries</b></p> <p><b>Engagement in the arts</b></p>	<p>NI8/DCMS<sup>13</sup>/DSO</p> <p>NI9/DCMS/DSO</p> <p>NI10/DCMS/DSO</p> <p>NI11/DCMS/DSO</p>
<b>SO10</b>	<p><b>To reduce the need to travel and improve access to integrated and sustainable forms of transport</b></p> <ul style="list-style-type: none"> <li>Will it lead to a reduction in road-based transport, including freight?</li> <li>Will it encourage more walking, cycling or attractive and viable alternatives to car travel?</li> <li>Will it help to reduce the distance people need to travel to work, or to access key facilities and services?</li> </ul>	<p><b>Access to services and facilities by public transport, walking and cycling</b></p> <p><b>Children travelling to school - mode of travel usually used</b></p> <p><b>Travel to work patterns</b></p> <p><b>Distance travelled to work</b></p> <p><b>Road traffic levels</b></p>	<p>NI175/DFT<sup>14</sup>/DSO</p> <p>NI198/DFT/DSO</p> <p>ONS<sup>15</sup> Census</p> <p>ONS Census</p> <p>DETR</p>
<b>SO11</b>	<p><b>To protect, conserve and enhance and where appropriate create biodiversity and geo-diversity</b></p> <ul style="list-style-type: none"> <li>Will it protect, conserve or enhance the viability of protected habitats and species?</li> <li>Will it promote the achievement of Durham BAP targets?</li> <li>Will it enhance overall biodiversity e.g. employ the "no net" loss principle, create urban habitats?</li> <li>Will it create any new habitats?</li> <li>Will it conserve and protect geological diversity?</li> <li>Will it improve the understanding of, and/or accessibility to biodiversity and/or geology for people?</li> </ul>	<p><b>Area of land designated as Sites of Special Scientific Interest in favourable condition</b></p> <p><b>Extent of DBAP<sup>16</sup> habitat created, restored or enhanced in the Borough</b></p> <p><b>Area of land in the Borough managed under agri-environment agreements</b></p> <p><b>Improved local biodiversity, active management of local sites</b></p> <p><b>Changes in populations of DBAP species</b></p>	<p>Natural England</p> <p>Natural England/DBAP</p> <p>Natural England</p> <p>NI197/PSA28 SBC Sustainable Communities</p> <p>Natural England/DBAP</p>

<sup>11</sup> Local Government Chronicle, University of Plymouth

<sup>12</sup> Cabinet Office

<sup>13</sup> Department for Culture Media and Sport

<sup>14</sup> Department for Transport

<sup>15</sup> Office for National Statistics

<sup>16</sup> Durham Biodiversity Action Plan

SO12	<b>To protect, maintain and enhance the distinctive appearance and character of the landscape, the historic environment and built heritage</b> <ul style="list-style-type: none"> <li>• Will it protect and enhance designated areas?</li> <li>• Will it improve despoiled landscapes/townscapes?</li> <li>• Will it safeguard archaeological and historic areas and features?</li> <li>• Will it encourage high quality design?</li> <li>• Will it promote the maintenance, sensitive adaptation and re-use of buildings?</li> <li>• Will it maintain the integrity of open areas between settlements?</li> </ul>	Number of listed buildings under each grade and percentage at risk	English Heritage/SBC
		Number of SAM <sup>17</sup> s and archaeological sites and percentage at risk	English Heritage/SBC
		Number of registered historic parks and gardens and percentage at risk	English Heritage/SBC
		Total number of conservation areas in the Borough	English Heritage/SBC
		Percentage of conservation areas in the Borough with an up-to date character appraisal	English Heritage/SBC
		Percentage of conservation areas with published management proposals	English Heritage/SBC
		Concentrations of air pollutants (e.g. NOx, NO <sub>2</sub> , PM10)	UK Air Quality Archive
SO13	<b>To protect and enhance water, air, land and soil</b> <ul style="list-style-type: none"> <li>• Will it reduce the loss of green-field sites, and use Previously Developed Land and derelict land?</li> <li>• Will it prevent the deterioration of land and soil quality and quantity (e.g. contamination, compaction)?</li> <li>• Will it prevent and reduce sources of pollution?</li> <li>• Will it reduce the amount of water used?</li> </ul>	Percentage of water bodies at "good ecological status" and good chemical quality	Environment Agency (EA)
		Number of groundwater bodies of good quantity and quality	Environment Agency
		Net loss of soils to development	SBC GIS
		Area of contaminated land (ha)	SBC Planning
		Previously developed land that has been vacant or derelict for more than 5 years	NI170/DCLG/DSO
		Domestic water use	EA/Northumbrian Water
		SO14	<b>To reduce the Borough's contribution to climate change and improve our ability to adapt to, prepare for and mitigate its impacts</b> <ul style="list-style-type: none"> <li>• Will it minimise the need for energy, and/or increase efficiency?</li> <li>• Will it encourage renewable energy generation?</li> <li>• Will it reduce emissions of greenhouse gases?</li> <li>• Will it minimise the risk and impact of flooding?</li> </ul>
Adapting to Climate Change	NI188/PSA27		
Renewable energy generation in the Borough (MW)	SBC Planning		

<sup>17</sup> Scheduled Ancient Monument

<sup>18</sup> Carbon Dioxide

	<ul style="list-style-type: none"> <li>Will it provide opportunities for wildlife to adapt to Climate Change e.g. provide wildlife corridors?</li> <li>Has the need to cope with climate extremes been considered? e.g. design of buildings</li> </ul>	<b>Flood and coastal erosion risk management</b>	NI189/DEFRA/DSO
		<b>Carbon Footprint</b>	SEI <sup>19</sup> /SBC Sustainable Communities
		<b>Number of properties at risk of flooding or covered by Flood Warning scheme</b>	Environment Agency/SBC
SO15	<b>To reduce the production of waste and increase re-use, recovery and recycling</b> <ul style="list-style-type: none"> <li>Will it reduce the amount of waste going to landfill?</li> <li>Will it increase the amount of waste re-used, recovered and recycled?</li> <li>Will it minimise the demand for raw materials, and/or increase the demand for recycled materials</li> </ul>	<b>Residual household waste per head</b>	NI191/DEFRA/DSO
		<b>Household waste recycled and composted</b>	NI192/DEFRA/DSO
		<b>Municipal waste land filled</b>	NI193/DEFRA/DSO
		<b>Amount of secondary/recycled aggregates used in construction compared with virgin aggregates</b>	DCLG
SO16	<b>To encourage, support and promote a competitive and diverse economy</b> <ul style="list-style-type: none"> <li>Will it help diversify the economy?</li> <li>Will it help support and encourage local businesses?</li> </ul>	<b>VAT registration rate</b>	NI166/BERR <sup>20</sup> /DSO
		<b>Business start ups and closures</b>	SBC Regeneration
		<b>Diversity of economic sectors</b>	SBC Regeneration
		<b>VAT registered businesses in the area showing growth</b>	NI172/BERR/DSO
SO17	<b>To provide a range of good quality sustainable employment opportunities</b> <ul style="list-style-type: none"> <li>Will it increase earnings?</li> <li>Will it maintain or generate new jobs for people in the area?</li> <li>Will it reduce unemployment?</li> </ul>	<b>Overall employment rate</b>	NI151/PSA8/ONS
		<b>Working age people on out of work benefits</b>	NI152/PSA8/DWP <sup>21</sup>
		<b>Average earnings of employees in the area</b>	NI166/BERR/DSO
SO18	<b>To encourage the social and environmental performance of the economy</b> <ul style="list-style-type: none"> <li>Will it encourage the development of social/community enterprises?</li> <li>Will it support the development of the voluntary sector?</li> <li>Will it encourage the use/consumption of local products?</li> <li>Will it encourage the adoption by organisations of higher standards of social and environmental stewardship?</li> </ul>	<b>Environment for a thriving third sector</b>	NI7/PSA21/CO/DSO
		<b>Ecological Footprint</b>	SEI/SBC Sustainable Communities
		<b>Number of companies with an environmental management system and/or Green Travel Plan</b>	SBC Regeneration
		<b>Businesses recognised as Investors of People</b>	SBC Regeneration

<sup>19</sup> Stockholm Environment Institute

<sup>20</sup> Department for Business, Enterprise and Regulatory Reform

<sup>21</sup> Department for Work and Pensions



<b>SA Objective</b>	<b>Number of National Indicators</b>	<b>Number of Local Indicators</b>	<b>Total Number of Indicators</b>
1	3	1	4
2	3	1	4
3	4	0	4
4	5	2	7
5	1	3	4
6	1	3	4
7	0	3	3
8	4	1	5
9	4	0	4
10	2	3	5
11	1	4	5
12	0	6	6
13	1	6	7
14	3	3	6
15	3	1	4
16	2	2	4
17	3	0	3
18	1	3	4
	<b>41</b>	<b>42</b>	<b>83</b>

### **Additional Demographic Contextual Indicators**

<b>Total resident population (by age cohort)</b>	ONS Mid-Year Population Estimates
<b>Predicted population (by age cohort)</b>	ONS Population Projections
<b>Immigration and Migration</b>	ONS Mid-Year Population Estimates

**Total Contextual Indicators: 86**