



Audit Committee

Date **Wednesday 30 June 2021**
Time **9.30 am**
Venue **Council Chamber, County Hall, Durham**

Business

Part A

Items which are open to the Public and Press

1. Apologies for absence
2. Minutes of the meeting held on 25 February 2021 (Pages 5 - 14)
3. Declarations of interest, if any
4. Quarter 4 2020/21 Health, Safety and Wellbeing Performance Report - Report of the Occupational Health and Safety Manager (Pages 15 - 32)
5. Internal Audit Progress Report Period Ended 31 March 2021 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 33 - 50)
6. CIPFA's Financial Resilience Index - Report of the Corporate Director of Resources (Interim) (Pages 51 - 58)
7. Compliance with CIPFA Financial Management Code - Report of the Corporate Director of Resources (Interim) (Pages 59 - 66)
8. Consideration of 'Going Concern Status' for the Statement of Accounts for the year ended 31 March 2021 - Report of the Corporate Director of Resources (Interim) (Pages 67 - 80)
9. Annual Review of the Effectiveness of Internal Audit 2020/21 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 81 - 94)
10. Protecting the Public Purse Annual Report 2020/21 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 95 - 148)
11. Annual Internal Audit Opinion and Internal Audit Report 2020/21 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 149 - 176)

12. Compliance with International Auditing Standards - Report of the Chair of the Audit Committee (Pages 177 - 190)
13. Draft Annual Governance Statement 2020/21 - Report of the Corporate Director of Resources (Interim) (Pages 191 - 224)
14. Draft Statement of Accounts for the year ended 31 March 2021 - Report of the Corporate Director of Resources (Interim) (Pages 225 - 478)
15. Internal Audit Charter, Strategy and Plan 2021/22 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 479 - 522)
16. Such other business as in the opinion of the Chairman of the meeting is of sufficient urgency to warrant consideration
17. Any resolution relating to the exclusion of the public during the discussion of items containing exempt information

Part B

Items during which it is considered the meeting will not be open to the public (consideration of exempt or confidential information)

18. Internal Audit Progress Report Period Ended 31 March 2021 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 523 - 546)
19. Protecting the Public Purse - Annual Report 2020/21 - Report of the Chief Internal Auditor and Corporate Fraud Manager (Interim) (Pages 547 - 554)
20. Such other business as in the opinion of the Chairman of the meeting is of sufficient urgency to warrant consideration

Helen Lynch

Head of Legal and Democratic Services

County Hall
Durham
22 June 2021

To: **The Members of the Audit Committee**

Councillor A Watson (Chair)
Councillor L Fenwick (Vice-Chair)

Councillors B Avery, A Jackson, M Johnson, B Kellett,
M McGaun, R Ormerod and T Smith

Co-opted Members:

Mr C Robinson and Mr I Rudd

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