

Durham County Council Audit Committee - Reporting Forward Plan 2011/12

		Ref	2011/12											2012/13						
			EA Training	Workshop	26 May	30 June	28 July	29 Sept	31 Oct	10 Nov	5 Jan	16 Feb	22 March	May	June	July	Sept	Nov	Feb	March
Agenda Items linked to Terms of Reference																				
Authority																				
1	To report on its work to Full Council	2.1					X			X				X				X		
Governance Arrangements																				
2	To review and endorse the Council's Code of Corporate Governance	4.1.1									X								X	
3	To review how the AC's work integrates with the wider performance management framework and standards compliance by consideration of the Council's assurance framework	4.1.2				X									X					
4	To understand the role of overview and scrutiny committee in relation to the review of performance and the assurance it provides on the effectiveness of the councils governance arrangements	4.1.3								X						X				
5	To approve proposals for the production of the Annual Governance Statement	4.1.4.									X								X	
6	To review the effectiveness of the Internal Audit	4.1.5				X								X						
7	To approve the draft annual governance statement	4.1.6				X									X					
8	To approve the final annual governance statement	4.1.7.						X									X			
9	To approve and monitor the AGS Action Plan	4.1.8						X									X			
10	To approve and monitor the AGS Action Plan	4.1.8				X					X		X		X				X	
11	To review and endorse the Council's risk management strategy and policy	4.1.9											X							X
12	To maintain an understanding of the risk management process and the key risks facing the Council	4.1.10			X		X			X		X		X		X		X	X	
13	To review regular reports from CRMG to gain assurance that the Council is monitoring and managing its risks effectively	4.1.11			X		X			X		X		X		X		X	X	
14	To seek further assurance from service managers that risks are being effectively managed as considered necessary	4.1.12			X		X	X		X										
15	To seek assurance on the effectiveness of the operation of risk management framework	4.1.13			X										X					

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Appendix 3

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		EA Training	Workshop	26 May	30 June	28 July	29 Sept	31 Oct	10 Nov	5 Jan	16 Feb	22 March	May	June	July	Sept	Nov	Feb	March		
28	To maintain an understanding of the role of other key providers of assurance identified in the Council's assurance framework and ensure adequate arrangements are in place for reviewing the reliability and effectiveness of those assurance providers and for addressing any control weakness or improvements that they may identify	4.1.26															X				
29	To review external audit reports and the Annual Audit Letter	4.1.27							X		X							X		X	X
30	To monitor progress made by management in response to both internal and external audit findings and recommendations	4.1.28							X		X	X						X	X	X	
31	To review the scope and depth of external audit work as set out in their annual plans	4.1.29			X									X							
32	Within available resources, to be able to request specific pieces of work from Internal and External Audit	4.1.30																			
STATEMENT OF ACCOUNTS																					
33	To maintain an understanding of the Budget and Policy Framework as set out in the Council's Constitution	4.2.1												X							
34	To maintain an understanding of the budget procedures as set out in Finance Procedure Rules and Financial Management Standards	4.2.2												X							
35	To maintain an understanding of internal and external reporting requirements	4.2.3	X													X					
36	To approve account policies, the approach to accounting estimates and the 'going concern assertion'	4.2.4														X					
37	Review of un-audited accounts	4.2.5					X										X				
38	Review of Annual Outturn Report and consider how this might impact on the draft accounts	4.26					X										X				
39	To approve final audited statement of accounts	4.27							X									X			
Programme of Work																					
40	Annual Programme of work to be agreed cross referenced to TOR	6.1								X				X							
Performance and Review																					
41	Annual self-assessment of effectiveness	7.1		X												X					