APPENDIX OVERVIEW OF CORPORATE GOVERNANCE ASSURANCE FRAMEWORK **FULL COUNCIL** Leader, Chief Executive, Monitoring Officer and S151 Officer sign AGS on behalf of Full Council AUDIT COMMITTEE (AC) Provide independent assurance on the adequacy and effectiveness of the Council's governance arrangements, including the effectiveness of the risk management and the associated control environment. Report bi annually to Full Council on its work Delegated Authority to approve AGS on behalf of Full Council **Pension Fund Committee** Cabinet: Oversee the management of ANNUAL GOVERNANCE STATEMENT (AGS) Receive quarterly reports on the pension fund, including performance and risk risk, governance and management to inform key internal control issues decisions **Kev Sources of Assurance** Internal Audit **Risk Management: External Audit:** CMT Other external sources of Overview Standards Provide a forum for Committee: assurance: and Independent opinion on Outcomes from formal risk Independent overview of identifying and Scrutiny: assessment and evaluation the effectiveness of the addressing cross Outcomes from reviews of Promote and - compliance with key as documented in Corporate financial control cutting issues that services by external Review and maintain high internal control and Service Risk Registers environment including may impact on inspectors e.g. and/or scrutinise standards of processes financial reporting, control environment decisions made member - the effectiveness of the Service Risk Managers. internal control and risk and risk - Ofsted or action taken conduct supported by corporate risk management and VFM Endorse AGS prior - CQC Assist in policy process for gaining - RIPA inspection (2011) assurance on internal officers, ensure service conclusion. to AC development and control and risk strategic risk registers are - IiP Standard Accreditation review regularly monitored Control improvements (2011)Review and management Change Co-HMRC (2010) the effectiveness of highlighted in Annual scrutinise **Ordination Group:** Governance Report and - H & S Executive controls to manage The CRMG ensures cross performance Challenges annual significant areas of risk cutting directorate risks are Annual Audit Letter - Quality Management Make evaluation of incorporated into the Standards recommend effectiveness of Council's Corporate Annual effectiveness - Audit Commission ations to Internal Management corporate review reported to Audit Strategic Risk Register Housing Inspection 2010 Cabinet Arrangements governance Committee Annual Report to Day to day management of arrangements and Full Council on its services, Performance prepares draft AGS work management framework. supported by risk project/programme and governance management arrangements. manager Working groups: processes and procedures. partnership governance IPG, 4th Tier Performance Group, Information Security Forum, Corporate Information Governance Group framework, quality **Directors Annual** Strategic Procurement Network, assurance process. Assurance MOWG, Performance clinics, 4th Tier Workshops etc complaints process. Statements: Provide a forum for identifying and addressing cross cutting etc Inform draft AGS issues that may impact on control environment and risk management