

Appendix 1

ERDF Spend Position

ERDF - Transition	PA1	PA3	PA4	PA5	PA8	Total
<b>Allocation</b>	<b>15,757,867</b>	<b>35,921,853*</b>	<b>18,310,691</b>	<b>2,795,501</b>	<b>1,730,704</b>	<b>74,516,616</b>
Contracted	4,672,990	15,685,061	533,887	-	14,300	20,906,238
Pipeline	6,009,545	6,429,986	3,279,642	2,795,501	1,716,404	20,231,078
<b>Commitments</b>	<b>10,682,535</b>	<b>22,115,047</b>	<b>3,813,529</b>	<b>2,795,501</b>	<b>1,790,704</b>	<b>41,137,316</b>
% committed	68%	62%	21%	100%	100%	55%
Balance remaining	5,075,332	13,806,806	14,497,162	0	0	33,379,300
<b>% remaining</b>	<b>32%</b>	<b>38%</b>	<b>79%</b>	<b>0%</b>	<b>0%</b>	<b>45%</b>

ERDF - More Developed	PA1	PA3	PA4	PA5	PA8	Total
<b>Allocation</b>	<b>29,632,631</b>	<b>66,642,825*</b>	<b>47,618,026**</b>	<b>6,392,050</b>	3,302,533	153,588,065
Contracted	14,466,004	55,957,817	13,417,632	-	51,990	83,893,443
Pipeline	8,613,750	1,875,000	32,736,490	6,392,050	3250543	52,867,833
<b>Commitments</b>	<b>23,079,754</b>	<b>57,832,817</b>	<b>4,6154,122</b>	<b>6,392,050</b>	<b>3,302,533</b>	<b>136,761,276</b>
% committed	78%	86%	97%	100%	100%	89%
Balance remaining	6,552,877	8,600,008	1,463,904	0	0	16,826,789
<b>% remaining</b>	<b>22%</b>	<b>13%</b>	<b>3%</b>	<b>0%</b>	<b>0%</b>	<b>11%</b>

\*\* £16m from the PA4 allocation is allocated to a Sustainable Urban Development model, and a call for this is due to be issued at the end of September to invite project applicants

\*\*\*Pipeline includes projects totalling £9,809,344, an over-commitment of £1.5m

ERDF - NELEP Total	PA1	PA3	PA4	PA5	PA8	Total
<b>Allocation</b>	<b>45,390,498</b>	<b>102,564,678</b>	<b>65,928,717</b>	<b>9,187,551</b>	<b>5,033,237</b>	<b>227,254,681</b>
Contracted	19,138,994	71,642,878	13,951,519	-	66,290	104,799,681
Pipeline	14,623,295	8,304,986	36,016,132	9,187,551	4,966,947	73,098,911
<b>Commitments</b>	<b>33,762,289</b>	<b>79,974,864</b>	<b>49,967,651</b>	<b>9,187,551</b>	<b>5,033,237</b>	<b>177,898,592</b>
% committed	74%	78%	76%	100%	100%	78%
Balance remaining	11,628,209	22,616,814	15,961,066	9,187,551	0	49,356,089
<b>% remaining</b>	<b>26%</b>	<b>22%</b>	<b>24%</b>	<b>100%</b>	<b>0%</b>	<b>22%</b>

\*The financial allocations to PA3 have increased as part of the ERDF Programme modification, the changes relates to a virement of residual funds from PA8 and a mid-term budget review by the European Commission.

## ESF Spend Position

	Transition		More Developed		NELEP Total	
	£	%	£	%	£	%
Priority Axis 1	35,776,311	100%	60,700,410	100%	96,476,721	100%
Legal Commitment	18,897,731	53%	26,683,487	44%	45,581,218	47%
Pipeline Value	1,800,000	5%	8,089,000	13%	9,889,000	10%
Notional balance	15,078,580	42%	25,927,923	43%	41,006,503	43%
Priority Axis 2	19,999,053	100%	83,919,776	100%	103,918,829	100%
Legal Commitment	8,047,822	40%	20,914,949	25%	29,962,771	28%
Pipeline Value	0	-	0	-	-	-
Notional balance	11,951,231	60%	63,004,827	75%	74,956,058	72%

Appendix 2 -ERDF Projects (approved or being contracted) within County Durham

PA1 Research and Innovation

<b>Project Applicant</b>	<b>Project Name</b>	<b>ERDF Requested from Durham's Allocation</b>
North East Business and Innovation Centre	SME Innovation Programme	£197,640
RTC North	The Innovation Pathway	£192,795
North East Business and Innovation Centre	North East Innovation Supernetwork	£75,006
Newcastle University	Commercialisation of university research through provision of management skills to assist in successful spin-out company creation	£179,625
Centre for Process Innovation Ltd	Emerging Electronics Manufacturing Centre at Netpark	£2,513,984
RTC North	Innovate2Succeed (Enhancing Management Innovation Capacity in SMEs)	£95,000
Centre for Process Innovation Ltd	Centre for Innovative Formulation	£1,144,309
Newcastle University	Creativity Works	£92,110
Teesdale University	Innovation Durham	£701,282
CPI	COAST	£2,709,735
CPI	Spotlight	£847,293
Durham University	Water Hub	£182,520

PA3 SME Competitiveness

<b>Project Applicant</b>	<b>Project Name</b>	<b>ERDF Requested from Durham's Allocation</b>
Princes Trust	Better off in Business	£26,652
RTC North	Designing Better Business	£290,960
Northern Film & Media Ltd	Creative Industries SME Business Support & Development	£3,780
North East Worldwide Limited	Expanding North East presence in International Markets	£469,541
North East Automotive Alliance	Advancing the Competitiveness of NE Automotive SMEs (ACE SMEs)	£132,360
Durham County Council	Durham Business Opportunities Programme	£624,056
Generator North East	Digital Futures	£102,000
University of Sunderland	Internships and Enterprise	£264,514
NEPIC	SME Growth via Facilitated Market Access and Energy Management	£95,047
Sunderland Council	Sunderland Software City (Phase 3)	£120,173
North East Enterprise Agency Ltd	Enterprise Support in the North East	£341,940
Northumberland Business Service Ltd (NBSL)	North East Business Support Fund (NEBSF3)	£1,514,038
North Tyneside Council	NELEP Area of Funds	£11,700,000
NBSL (NEBSF 4)	North East Business Support Fund 4 - This project will strengthen/provide	£2,266,881

	additionality to the current SME support in the Transitional Area including that provided under currently contracted NBSL projects	
Durham University	Durham Internships and collaborative enterprise	£613,105
Durham County Council	SME Digital Engagement Programme	£2,400,000
Durham County Council	Community Enterprise Durham	£525,000

#### PA4 Low Carbon

Project Applicant	Project Name	ERDF Requested from Durham's Allocation
Durham County Council	Business Energy Efficiency Project (BEEP)	£533,887
Durham County Council	Solid Wall Insulation SWII	£1,506,823

#### Priority Axis 8 – Community Led Local Development

Project Applicant	Project Name	ERDF Requested from Durham's Allocation
Durham County Council	Preparatory work for North and South Durham CLLD areas	£14,300
Durham County Council	North Durham CLLD Implementation South Durham CLLD Implementation	£744,202 £986,501

#### ESF Schemes contracted in County Durham

Priority Axis 1: Inclusive Labour Markets	
YEI – DurhamWorks	£12.78m
NECA Mental Health Trailblazer	£0.32m
DWP Opt-in	£1.608m
SFA Opt-in (Total £2.67m incl. management fee) of which:	
<i>Contracts in procurement: Preventative NEET</i>	£0.5m
<i>Community Grants</i>	£0.5m
<i>Support for the Unemployment</i>	£1.5m
Big Lottery Fund Building Better Opportunities	£1.5m
CLLD Prep work	£0.0138m
PA2 Skills for Growth	
SAF Opt In - Employee Support for Skills	£5.6m
SFA Opt In - Support for placements, internships and education and business links	£1.2m

### Appendix 3 – Current ESF and ERDF Open Calls for Projects

ESF	
1.4 Active Inclusion – specialist support for those furthest from the labour market	<p>Call for projects to deliver one or more of three strands of activities:</p> <ul style="list-style-type: none"> <li>- Employment for those with protected characteristics</li> <li>- Employment for workless housing tenants</li> <li>- Employment support for offenders and ex-offenders</li> </ul> <p>£2m allocated. Minimum grant £150k Closing date 4 December 2017</p>
1.1 Access to Employment for Jobseekers and Inactive People	<p>Activity 1 – Health and Employment Specialist Support – provide intensive support to unemployed and inactive residents with health conditions acting as barriers to labour market participation through provision of tailored and integrated health and work support</p> <p>Activity 2 – Specialist Employment Support for 50+ - provide additional intensive support to unemployed and inactive residents aged 50+ who have health conditions and/or other barriers preventing them from entering the labour market</p> <p>£1.5m allocated. Min grant £150k Deadline 23 October 2017</p>
2.1 Enhancing equal access to lifelong learning – raising the skill levels of the North East Health and Social Care Workforce	<p>Calls for projects to improve recruitment, retention and progression in employment in the health and social care sector through a programme of skills training specific to health and social care and support for employers in the sector</p> <p>£1,250,000 allocated. Min grant £300k Deadline 4 December 2017</p>
2.1 Enhancing equal access to lifelong learning – Digital Skills North East	<p>Call for projects to support digital skills development within the digital / tech sector and/or access the general workforce where this enhances opportunities for job progression and development. Proposals should focus on addressing the specific skills gaps experienced by employers</p> <p>£5m allocated. Min grant £500k (smaller proposals would be considered if their main focus of activity is Durham) Deadline 23 October 2017</p>
2.1 NE Ambition Pilot Tailored Delivery	<p>Calls to run a project providing leadership, management and technical skills development of employees in education establishments. Must align with the linked 2.2 NE Ambition Pilot Strategic Framework Call (see below)</p> <p>£0.75m allocation. Min 300k grant Deadline 23 October 2017</p>
2.2 NE Ambition Pilot Strategic Framework	<p>Call to run a project bringing together educators, businesses, local communities to transform the education system. Must align with above call. Focusing on tackling education challenges faced in NE by setting up and implementing a strategic framework which will bring together partners to transform education system to meet industry needs.</p>

	<p>£0.75m Transition allocation from £3m for NELEP area. Seeking only one proposal. Deadline 23 October 2017</p>
<b>ERDF</b>	
PA1 Promoting Research and Innovation	<p>ERDF will focus on revenue activity, particularly the provision of intensive support leading to an increase in innovative capacity for new and established businesses innovating in smart specialisation areas.</p> <p>£4.1m Transition allocation. Min £500k grant size Deadline 10 November 2017</p>
PA3 Enhancing the competitiveness of SMEs	<p>ERDF will focus on revenue activity that promotes entrepreneurship and enterprise and business growth and resilience. Proposals should demonstrate alignment with local development need and priorities, delivering impacts across all sectors and size including SMS, micro and social enterprises.</p> <p>£10.9 Transition allocation. £500k min grant size Deadline 10 November 2017</p>
PA4 Low Carbon	<p>This call is looking to support the shift towards a low carbon economy in all sectors, specifically:</p> <ul style="list-style-type: none"> <li>• Promoting the production and distribution of energy derived from renewable resources.</li> <li>• Promoting energy efficiency and renewable energy use in enterprises.</li> <li>• Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector.</li> <li>• Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures.</li> <li>• Promoting research and innovation in, and adoption of, low-carbon technologies.</li> </ul> <p>£13.4m Transition allocation. Min grant size £500k Deadline 10 November 2017</p>

## Appendix 4

### Revised EU Governance Process Flow Chart

